

PERT Frequently Asked Questions

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1. How does effort or employee time become "committed"?

Commitments of faculty or USF employee time typically occur in the proposal process. A commitment of effort is usually made in the proposal budget, but it may also be made in the proposal narrative or communication with the sponsor. In some cases, sponsors will include expected levels of commitment or work within their award or agency guidelines. Once an award occurs, the effort is noted within the proposal, sponsor guidelines, or communications with the sponsor becomes committed effort.

2. Is committed effort equivalent to cost sharing?

Committed effort may be EITHER cost sharing (work on an award that is funded by USF), direct charges (work on an award that is funded by the sponsor), OR a combination of the two. Cost Sharing may also be required for committed effort when an employee is over the NIH Salary Cap.

3. How should research be reported if funded by the University?

If the work performed is committed as cost sharing, salaries and wages should be distributed to the appropriate cost sharing accounts for the individual award. If the work performed is <u>not</u> based on a sponsor obligation or commitment of work effort or it exceeds the level of commitment, the work performed is classified as voluntary uncommitted effort and need not be separately reported, per the Uniform Guidance 2 CFR 200.

4. How should voluntary effort or time spent in excess of a 40- hour week be reported?

Effort reports must include 100% of all work performed. This does <u>not</u> equate to a set number of hours per week, e.g., 40 hours per week. Total effort is the summation of all University compensated activities. Effort reports are not generated for employees paid on Salary Plan 01.

5. What happens if an employee refuses to certify their effort report?

By accepting federal awards or committing to cost sharing, the University and its Principal Investigators have agreed to comply with Federal effort reporting requirements. Employee responsibilities do therefore include review and certification of federal effort reports. In the absence of effort certifications, the employees salaries and wages must be removed to a College unrestricted account. Sponsored Research will address such instances with the Dean, Chair, Vice President, or Director, as appropriate. (See CCHIP #005.)

6. How should faculty manage effort commitments that exceed 100%?

When submitting proposals, faculty may propose commitments to several awards and combined with existing commitments could exceed 100%. Since research proposals are not always funded, there is no reason for concern unless the commitments of existing awards and new awards received exceed 100%. If effort commitments exceeding 100% are identified, contract/grant or faculty assignment changes MUST be made. The Division of Sponsored Research will work with the department and sponsor to modify any award commitments as needed. Any proposed changes to faculty assignments should be directed to the Chair of the department.

7. What level of effort is expected from a Principal Investigator on his/her sponsored award?

Sponsor expectations as to a Principal Investigator's level of effort may be detailed within the award, contract, or sponsor guidelines. In the absence of other requirements, the University expects a minimum level of effort (1%) be dissertation support, and other student support awards excluded from this expectation. The effort establishes the expectation that faculty member and their senior researchers on Sponsored Research WILL make a commitment of their effort to an award, <u>Uniform Guidance 2 CFR 200</u>.

8. When it is necessary to correct an effort report?

The Federal government recognizes that measuring effort is not an exact science. Therefore, the University has determined that effort can vary from the percentage of the supported salary by less than 5% and \$500. Both conditions must be met in order to be deemed insignificant.

9. When can retroactive changes be made to a certified effort report?

Once effort has been certified, no changes can be made or allowed without the existence of extremely unusual circumstances. If you believe you have an extremely unusual situation, please contact Sponsored Research for consideration of your situation.

10. What should I do if I forgot to change pay distribution for an employee who worked on a federal award and provided cost share?

You will need to contact Sponsored Research. If not over 90 days, you may be allowed to submit a retroactive payroll expenditure transfer request to redistribute the charges to a cost share account for the individual award. If the employee did not have an effort report generated for this time period, a report will then be generated once the transfer has posted in FAST. If the transfer is posted in FAST before the applicable PERT certification period has ended, a weekly process should pick up the transfer and generate a report automatically.

11. What tool is available to assist me with reconciling payroll to PERT?

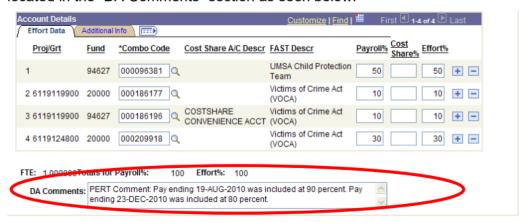
As soon as a payroll cycle posts for a reporting period, the View Pay History (Effort report System>Effort report System>Certify>View Pay History) will reflect the payroll and PERT Pay details needed to reconcile the effort against payroll distribution.

12. How do I know if the payroll was posted prorated for either of the boundary pay periods within a reporting period for a specific effort report?

When accessing an Effort report through the Admin Review page, you should see a button on the report labeled "View Current Payroll history". This summary of current payroll will also display the prorated amount and will identify the pay period that was prorated for a boundary period (See Figure 1).



In addition, on the Effort Report Detail tab of the PERT report will display the included prorated payroll for each boundary pay period. These comments are located in the "DA Comments" section as seen below.



13. What else do I need to know regarding payroll?

The "View Current Payroll History" will reflect payroll for that employee and the empl rcd# during that reporting period. It will display the earnings code information whether or not those dollars should be included as PERT eligible earnings as determined by the following codes:

REG-Regular

OVT- Overtime Earnings

PPH- Prior Pay Period Hours

RTA- Retro Earnings Adjust

SAH- Salaried Additional Hrs

DOC- Dock Earnings

14. How do I acquire PERT Access?

Department representatives requiring PERT access should complete the FAST Access Security Request available on the <u>Controller's Website</u>, **FAST Security Access Request** on Page 2 of form give a detailed description of the role you are requesting, Effort Inquiry, Effort Representative role or Certifier Role.

15. What about access to the PERT for the employees who need to certify or supervisors/PIs Dept Chairs?

The link on our website for technical assistance contains a variety of support information including how to access PERT as a Certifier or Representative.

16. Whom do I contact regarding application support issues?

PERT users with password resets, problems or remote access should follow the Logon ID & Password Create/Reset procedures located on the USF Information Technology.

For other PERT navigation issues refer to the training guide or Quick Reference Guide. If you require additional assistance, please contact RFM Compliance@usf.edu or chat https://www.research.usf.edu/dsr/atyourservice/